

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10	
EV 678 112 315 US Express Mail Label Number	June 15, 2007 Date of Deposit

Form PTO-1390-MOD (REV 10-96)		U. S. Department of Commerce Patent and Trademark Office	ATTORNEY'S DOCKET NUMBER RE/3-33299A
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/566188
INTERNATIONAL APPLICATION NO. PCT/EP04/008394	INTERNATIONAL FILING DATE 27 July 2004 (27.07.04)	PRIORITY DATE CLAIMED 28 July 2003 (28.07.03)	
TITLE OF INVENTION TASTE-MASKED COMPOSITION OF CATIONIC EXCHANGE RESIN			
APPLICANT(S) FOR DO/EO/US HARGENS ET AL.			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau. (See Form PCT/IB/308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An executed Declaration and Power of Attorney (original or copy) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/566188		INTERNATIONAL APPLICATION NO. PCT/EP04/008394		ATTORNEY'S DOCKET NUMBER RE/3-33299A	
---	--	---	--	--	--

The following fees are submitted: 21. Basic national fee. \$ 22. Examination Fee If International preliminary examination report was prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$ All other situations. \$ 23. Search fee If Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$ If International Search Report was prepared and provided to the Office. . . \$ All other situations. \$					CALCULATIONS PTO USE ONLY	
TOTAL OF 21, 22 AND 23 =					\$ 0	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE			
- 100 =	/50 =		X \$ 250			
Surcharge of \$130 for furnishing the oath of declaration later than <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130		
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE		
Total claims		- 20 =		X \$ 50		
Independent claims		- 3 =		X \$ 200		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$ 360		
TOTAL OF ABOVE CALCULATIONS =				\$ 130		
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).						
SUBTOTAL =				\$ 130		
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
TOTAL NATIONAL FEE =				\$ 130		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				\$		
TOTAL FEES ENCLOSED =				\$ 130		
				Amount to be: refunded		\$
				charged		\$

06/19/2007 LLANDGRA 00000006 190134 10566188

01 FC:1 17 130.00 DA

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☒ Please charge Deposit Account No. 19-0134 in the name of Novartis in the amount of \$130 to cover the above fees. A duplicate copy of this form is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0134 in the name of Novartis.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to the address associated with Customer No. 001095, which is currently:

Novartis
Corporate Intellectual Property
One Health Plaza, Building 104
East Hanover, NJ 07936-1080

Diane E. Furman June 15, 2007
Diane E. Furman
Attorney for Applicants
Reg. No. 31,104
(862) 778-7838

CALL 1-800-222-1811 FOR PICKUP OR TRACKING OF ALL YOUR PACKAGES



EXPRESS MAIL

CORPORATE ACCOUNTS
POSTAGE AND FEES PAID

www.us



EXPRESS MAIL

UNITED STATES POSTAL SERVICE®

HOW TO USE:



SN5TE2T829A3

Addressee Copy
Label 11-F, April 2004



**EXPRESS
MAIL**

UNITED STATES POSTAL SERVICE®

Post Office To Addressee

ORIGIN (POSTAL SERVICE USE ONLY) PO ZIP Code <u>07906-1</u>		Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del. Day		Postage \$ <u>16.25</u>
Date Accepted <u>06-15-07</u> Mo. Day Year		Scheduled Date of Delivery <u>06-18</u> day		Return Receipt Fee \$
Time Accepted <u>4:46</u>		Scheduled Time of Delivery <input type="checkbox"/> Noon <input type="checkbox"/> 3 PM		COD Fee \$
Flat Rate <input checked="" type="checkbox"/> or Weight <input type="checkbox"/>		Military		Insurance Fee \$
lbs. <u>14.4</u>		Int'l Alpha Country Code		Total Postage & Fees \$ <u>16.25</u>
ozs.		Int'l Alpha Country Code		Acceptance Emp. Initials <u>PS</u>

DELIVERY (POSTAL USE ONLY)		Time		Employee Signature
Delivery Attempt		<input type="checkbox"/> AM	<input type="checkbox"/> PM	
Mo. Day		<input type="checkbox"/> AM	<input type="checkbox"/> PM	
Delivery Attempt		<input type="checkbox"/> AM	<input type="checkbox"/> PM	
Mo. Day		<input type="checkbox"/> AM	<input type="checkbox"/> PM	
Delivery Date		<input type="checkbox"/> AM	<input type="checkbox"/> PM	
Mo. Day		<input type="checkbox"/> AM	<input type="checkbox"/> PM	

RECEIVED
JUN 18 2007

Employee Signature _____

Employee Signature _____

Employee Signature _____

Employee Signature _____

Customer Signature _____

Weekend ☐ Holiday ☐

NO DELIVERY

CUSTOMER USE ONLY

FROM: (PLEASE PRINT)

WYATT'S
1 REARVIEW VIL
SLEIGHT
ELECT MANAGER

PHONE []

NJ 07936-1010

TO: (PLEASE PRINT)
ALEXANDER
PC BOX 1450
COMPTON, CA
94026-1450
FAX PATENTS
PHONE

FOR PICKUP OR TRACKING: Visit **www.usps.com** or Call 1-800-222-1811



The effect of the Experiment